

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/069,676

INTERNATIONAL APPLICATION NO.

PCT/JP00/05802

INTERNATIONAL FILING DATE

28 August 2000 (28.08.00)

PRIORITY DATE CLAIMED

27 August 1999 (27.08.99)

TITLE OF INVENTION

IMAGE DATA DISTRIBUTION METHOD AND SYSTEM, IMAGE DATA AND RECORDING MEDIUM

APPLICANT(S) FOR DO/EO/US

SHINDO, Jiro

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items of information: Election and Power of Attorney or Authorization of Agent, Statement Under 37 CFR 3.73(b), Response to Notification of Missing Requirements

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
10/069,676

INTERNATIONAL APPLICATION NO.
PCT/JP00/05802

ATTORNEY'S DOCKET NUMBER
270031.401USPC

21. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$690.00

| | |
|--|----------|
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | \$100.00 |
|--|----------|

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

Fee was paid upon
First Submission

| Claims | Number Filed | Number Extra | Rate |
|---|--------------|--------------|------------|
| Total Claims | - 20 = | | x \$ 18.00 |
| Independent Claims | - 3 = | | x \$ 80.00 |
| Multiple dependent claim(s) (if applicable) | | | + \$270.00 |

TOTAL OF ABOVE CALCULATIONS =

\$.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$ 00

SUBTOTAL =

\$.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$.00

TOTAL NATIONAL FEE =

\$.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$40.00

Fee for extension of time to respond to Notification of Missing Requirements (37 CFR 1.136(a)).
Form PCT/SB/22 included.

\$.00

TOTAL FEES ENCLOSED =

\$40.00

| |
|------------------------|
| Amount to be refunded: |
| charged |

| | |
|-------|----|
| 54.00 | CH |
| 80.00 | CH |

- a. ☒ A check in the amount of \$40.00 cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

David V. Carlson, Esq.
Seed Intellectual Property Law Group PLLC
701 5th Avenue, Suite 6300
Seattle, WA 98104-7092
United States of America
(206) 622-4900

SIGNATURE

David V. Carlson

NAME _____

31,153

REGISTRATION NUMBER

PATENT COOPERATION TREATY

Int'l Application No. : PCT/JP00/05802
Int'l Filing Date : 28 August 2000 (28.08.00)
U.S. Application No. : 10/069,676
Inventor : SHINDO, Jiro
Title : IMAGE DATA DISTRIBUTION METHOD AND SYSTEM,
IMAGE DATA AND RECORDING MEDIUM
Docket No. : 270031.401USPC
Date : 01 August 2002

Box PCT
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents:

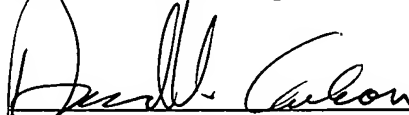
In response to the Notification of Missing Requirements dated May 1, 2002, as attorney of record in the above-identified application, I hereby state that the enclosed Declaration is the execution of the above referenced application and is hereby submitted under MPEP § 601.01(a), and meets the identification requirement of 37 CFR § 1.63 by identifying the attorney docket number which is identified on the specification of the above-referenced application and the inventors name.

In response to the request for additional claim fees, applicant notes that a Preliminary Amendment, dated February 21, 2002, which included Substitute Specification replacing the application and claims presently on file, was submitted at the time of filing of the above-referenced application. The requisite fees were paid for a total of 33 claims, including 3 additional independent claims (for a total of 6 independent claims). There are presently no pending multiple dependent claims. Accordingly, applicant respectfully submits that no additional claim fees as a non-small entity are presently owing.

Respectfully submitted,

SHINDO, Jiro

Seed Intellectual Property Law Group PLLC



David V. Carlson

Registration No. 31,153

DVC



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/069,676 | Jiro Shindo | 270031.401USPC |

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|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
| PCT/JP00/05802 |

| | |
|------------------|---------------|
| I.A. FILING DATE | PRIORITY DATE |
| 02/28/2000 | 08/27/1999 |

David V Carlson
Seed Intellectual Property Law Group
701 5th Avenue
Suite 6300
Seattle, WA 98104-7092

Missparts
July 1, 2002

ENTERED IN DOCKET

CONFIRMATION NO. 3632
371 FORMALITIES LETTER



OC000000007973312

Date Mailed: 05/01/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination
- Substitute Specification

RECEIVED

MAY 10 2002

SEED INTELLECTUAL PROPERTY
LAW GROUP PLLC

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$334 as a non-small entity, including any required multiple dependent claim fee,

are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$334** for a Large Entity:

- Total additional claim fee(s) for this application is **\$334**
 - **\$54** for **16** total claims over 20.
 - **\$280** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/069,676 | PCT/JP00/05802 | 270031.401USPC |

FORM PCT/DO/EO/905 (371 Formalities Notice)